

# The Siegel-Veech transform

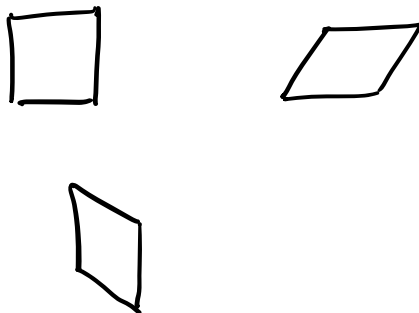
# Outline

1. What is a translation surface?
2. What can we count?
3. The Siegel-Veech transform
4. Proving the counting result using equidistribution

# 1. What is a translation surface?

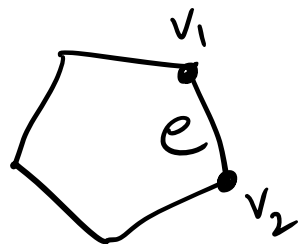
A translation surface is a finite collection of polygons in  $\mathbb{C}$  along with some **gluing data**, up to an **equivalence relation**.

Running  
example



# Gluing data

To each edge  $e$ , associate a complex number  $h(e)$ .

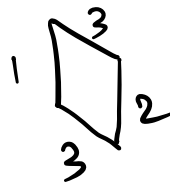
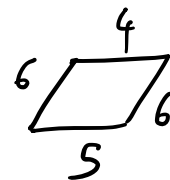
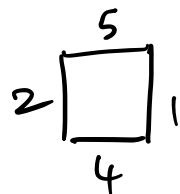


$$h(e) = v_1 - v_2$$

## Gluing rules

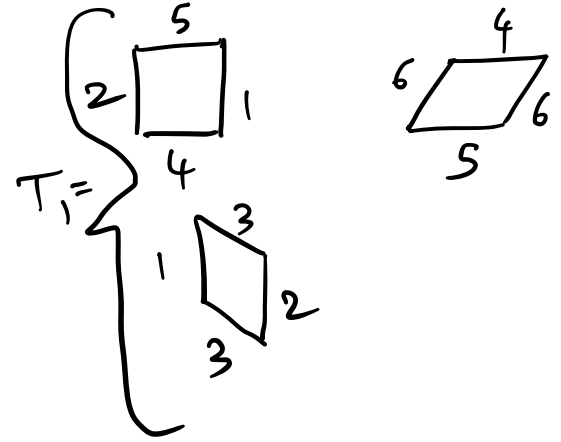
- i) Every edge is glued to exactly one other edge
- ii) Edges  $e_1$  &  $e_2$  can be glued only if  $h(e_1) = -h(e_2)$

## Running example



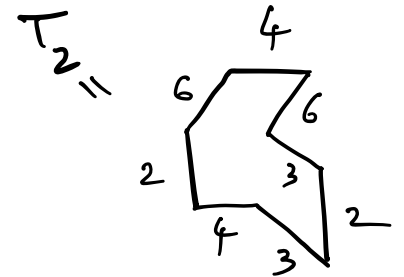
# Equivalence relation

Two translation surfaces are equivalent if there is a bijective map  $\sigma$



$$\sigma : T_1 \setminus FS_1 \longrightarrow T_2 \setminus FS_2$$

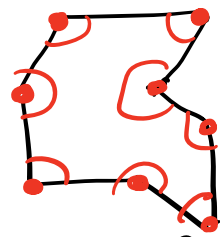
$\uparrow$                        $\uparrow$   
 Finite set              Finite set  
 containing              containing  
 vertices                vertices



$\sigma$ , when considered in local  $\mathbb{C}$  charts, is just a translation.

# Objects on a translation surface

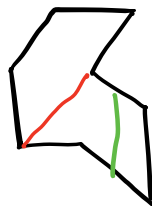
i) Singularities : Points around which  
angle  $= 2n\pi$  ,  $n \geq 2$



Angle of  $6\pi$   
around singularity

Wanna  
count  
these { ii) Cylinder vectors : Closed straight  
lines not passing  
through singularities

iii) Saddle connection : Straight line passing  
between a pair of  
singularities



Can associate a pair of vectors in  $\mathbb{R}^2$  to each  
CV or SC.

# The moduli space $\mathcal{M}$

If we fix the degree of each singularity (represented by  $\mathbb{Z}$ )  
the set of all translation surfaces  
with singularity set  $\mathbb{Z}$  forms a manifold.

We denote this manifold  $\mathcal{M}$ .

# $SL(2, \mathbb{R})$ action on $\mathcal{M}$

We have a linear action of  $SL(2, \mathbb{R})$  on  $\mathbb{C}$ .

↓  
Action on polygons while respecting gluing rule & equivalence relation

↓  
Action of  $SL(2, \mathbb{R})$  on  $\mathcal{M}$

We have a Lebesgue class measure on  $\mathcal{M}$ .  
(Masur-Veech)

Thm)  $\mu_{MV}$  is  $SL(2, \mathbb{R})$  invariant & ergodic.

Furthermore we have a "classification" of  $SL(2, \mathbb{R})$  ergodic measures.

Thm (EMM) |  $SL(2, \mathbb{R})$  ergodic measures are the canonical Lebesgue class measures on  $AS$ .



## 2. What can we count?

For each SC/cv, we have a well defined pair of vectors in  $\mathbb{R}^2$ .

For translation surface  $S$

$$V_{cv}(S) = \text{Multiset of vectors associated to all cylinder vectors in } S$$
$$V_{sc}(S) = \text{Same as above, but with saddle connections}$$
$$\# \left( V_{cv}(S) \cap B(0, R) \right)$$

Cylinder vectors of length  $\leq R$

$$\# \left( V_{sc}(S) \cap B(0, R) \right)$$

Saddle connections of length  $\leq R$

# The main counting theorem

Let  $V : (\mathcal{M}, \mu) \rightarrow \text{Multisets in } \mathbb{R}^2 \setminus \{0,0\}$

satisfying the following conditions

$\forall \mu \text{ a.e } S$

(A) For all  $g \in SL(2, \mathbb{R})$   
 $V(gS) = gV(S)$

(B) For any  $S \in \mathcal{M}$ ,  $\exists c(S) > 0$   
 s.t.  
 $\#(V(S) \cap B(0, R)) \leq c(S) \cdot R^2$   
 $c(S)$  can be chosen uniformly  
 on compact sets.

(C <sub>$\mu$</sub> ) For large enough  $R$  & small  
 enough  $\varepsilon$ ,  $\#(V(S) \cap B(0, R))$   
 is in  $L^{1+\varepsilon}(\mu)$

then

$$\lim_{R \rightarrow \infty} \frac{\#(V(S) \cap B(0, R))}{\pi R^2}$$

$\parallel$

$$C_{V, \mu}$$

# The main counting theorem

(A) For all  $g \in SL(2, \mathbb{R})$   
$$V(gS) = gV(S)$$

(B) For any  $S \in \mathcal{M}$ ,  $\exists c(S) > 0$   
s.t.  
$$\#(V(S) \cap B(0, R)) \leq c(S) \cdot R^2$$
  
 $c(S)$  can be chosen uniformly  
on compact sets.

( $C_\mu$ ) For large enough  $R$  & small  
enough  $\varepsilon$ ,  $\#(V(S) \cap B(0, R))$   
is in  $L^{1+\varepsilon}(\mu)$

- Hypothesis (A) is  
fairly easy to check.

- (B) & ( $C_\mu$ ) involve  
doing some actual geometry.

-  $C_\mu$  also depends upon  
the choice of the  
ergodic measure.

# Other settings

The statement is quite general. (i.e. can replace  $(M, \mu)$  with any ergodic setup & 2 with  $m$ ).

$$SL(m, \mathbb{R}) \hookrightarrow \left( \frac{SL(m, \mathbb{R})}{SL(m, \mathbb{Z})}, \text{Haar} \right)$$

$V$  is set of vectors in corresponding lattice (or primitive vectors)

### 3. The Siegel-Veech transform

Suppose  $f \in C_0^\infty(\mathbb{R}^2)$   $\leftarrow$  Need  $C^\infty$  for technical reason

Example one ought to think of: smoothened out indicator of ball or annulus

$$\hat{f} : \mathcal{M} \rightarrow \mathbb{R}$$

$$\hat{f}(s) := \sum_{v \in V(s)} f(v)$$

Assumption (B) guarantees well definedness & uniform bd on compacts.  
(C<sub>μ</sub>) guarantees  $\hat{f} \in L^{1+\varepsilon}(\mathcal{M}, \mu)$

# Siegel-Veech constants

Thm Let  $\mu$  be an  $SL(2, \mathbb{R})$  ergodic measure,  
 $\& V$  be a function satisfying (A), (B), & (C $_{\mu}$ ).

Then

$$\int_M \hat{f}(S) d\mu(S) = c_{V,\mu} \int_{\mathbb{R}^2} f(x,y) dx dy.$$

$c_{V,\mu} = 0$   
 $\hat{f} = 0 \iff \mu\text{-a.e.}$

Compare this to the other counting result when  $f = \chi_{B(0,R)}$ .

Emphasize what this result says about the AVERAGE  
 translation surface

# Proof

Consider the linear functional  $\phi$  on  $C_0^\infty(\mathbb{R}^2)$ .

$$\phi(f) := \int \hat{f}(s) \, d\mu$$

We have an  $M$   $SL(2, \mathbb{R})$  action on  $C_0^\infty(\mathbb{R}^2)$   
 $(\gamma \cdot f)(x) = f(\gamma x).$

But  $\mu$  is also  $SL(2, \mathbb{R})$  invariant.

Let's compute

$$\phi(\gamma \cdot f)$$

## Proof (contd.)

$$\begin{aligned}\phi(\gamma \cdot f) &:= \int_M \hat{\gamma \cdot f}(s) \, d\mu = \int_M \sum_{v \in V(s)} \gamma \cdot f(v) \, d\mu \\ &= \int_M \sum_{v \in V(s)} f(\gamma v) \, d\mu = \int_M \sum_{w \in V(\gamma s)} f(w) \, d\mu \\ &= \int_M \hat{f}(\gamma s) \, d\mu = \int_M \hat{f}(s) \, d\mu\end{aligned}$$

$\phi$  is an  $SL(2, \mathbb{R})$  invariant functional.



## Proof (contd.)

Recall that  $SL(2, \mathbb{R})$  action on  $\mathbb{R}^2$  has  
two orbits:  $0$  &  $\mathbb{R}^2 \setminus \{0\}$ .

$$\phi(f) = a \cdot f(0,0) + b \int_{\mathbb{R}^2} f(x,y) \, dx \, dy$$

Need to show  $a = 0$ .

## Proof (contd.)

Define a sequence of approximating functions  $f_n$ .

$$f_n(z) = \begin{cases} 0 & \text{if } |z| < \frac{1}{n} \\ f(z) & \text{if } |z| > \frac{2}{n} \\ \text{smoothly interpolates} & \text{in b/w.} \end{cases}$$

Idea: Show  $\lim_{n \rightarrow \infty} \phi(f_n) = \phi(f)$ .

Since  $f_n(0) = 0 \quad \forall n$ , this will imply  $a = 0$

## Proof (contd.)

$$\phi(f_n) = \int_M \sum_{v \in V(s)} f_n(v) \, d\mu$$

Since  $f_n \rightarrow f$  p.w. on  $\mathbb{R}^2 \setminus O$ ,  
dominated convergence gives us the result.

The constant  $b$  is  $C_{V,\mu}$ .

For  $C_{V,\mu}$  to be 0,  $\phi$  has to  
be 0.

That'll happen if  $\hat{f} = 0$   $\mu$  a.e.

□

## 4. Counting from equidistribution

### Equidistributing wavefront points

We'll prove the counting result for a special class of translation surfaces.

$$\lim_{t \rightarrow \infty} \frac{1}{2\pi} \int_0^{2\pi} \hat{f}(a_t r_\theta S) d\theta = \int_{\mathcal{M}} \hat{f}(R) d\mu(R) \quad (*)$$

$$a_t = \begin{pmatrix} e^t & 0 \\ 0 & e^{-t} \end{pmatrix} \quad r_\theta = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$a_t r_\theta S$  is like a wavefront emanating from  $S$ .

## Counting result for equidistributing wavefronts

Thm | If  $S \in \mathcal{M}$  satisfies  $(*)$ , then

$$\lim_{R \rightarrow \infty} \frac{\#(V(S) \cap B(0, R))}{R^2} = \pi C_{V, \mu}$$

# Wavefront average estimates on $\mathbb{R}^2$

To estimate

$$\frac{1}{2\pi} \int_0^{2\pi} \hat{f}(a_t r_\theta s) d\theta$$

estimate

$$\frac{1}{2\pi} \int_0^{2\pi} f(a_t r_\theta v)$$

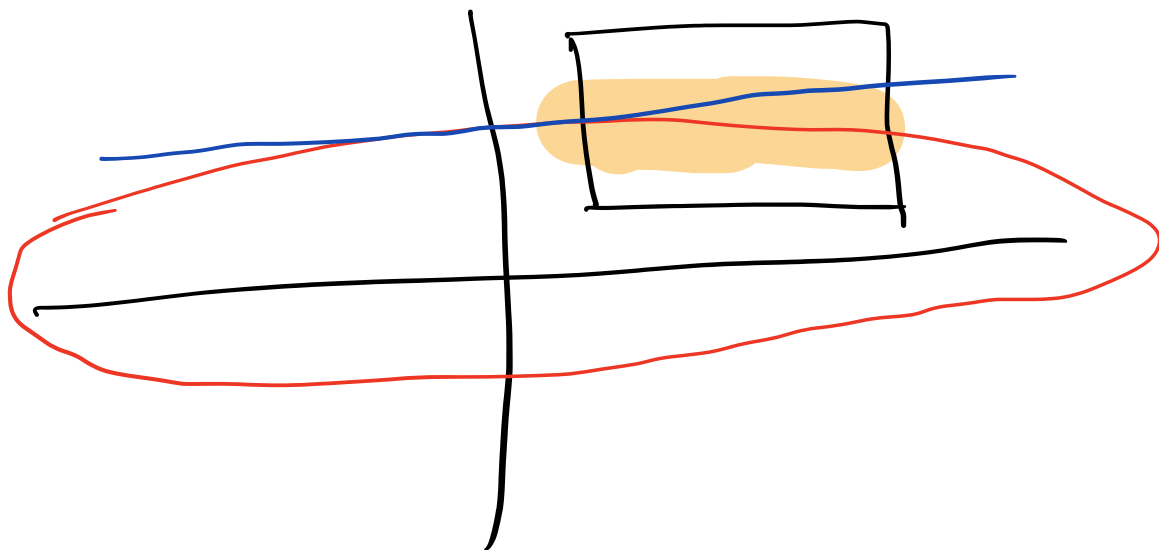
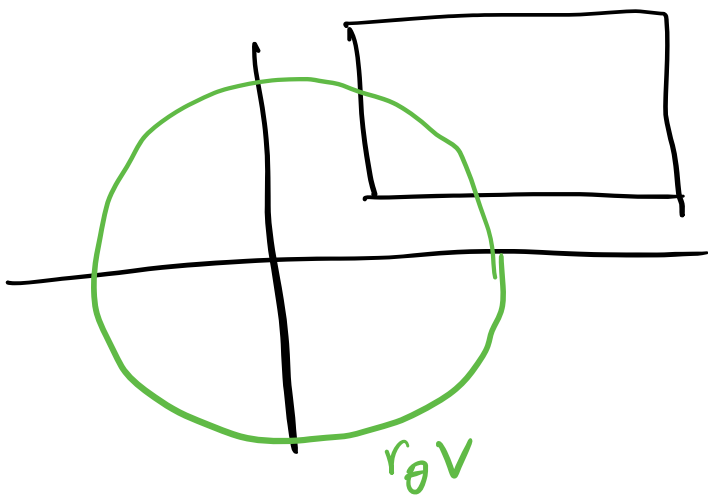
because

$$\frac{1}{2\pi} \int_0^{2\pi} \hat{f}(a_t r_\theta s) = \sum_{v \in V(s)} \frac{1}{2\pi} \int_0^{2\pi} f(a_t r_\theta v)$$

, we will need to  
for  $v \in \mathbb{R}^2$

# Wavefront average estimates on $\mathbb{R}^2$

WLOG we can  
assume  $f$  is the  
indicator of some  
rectangle



## Wavefront average estimates on $\mathbb{R}^2$

Lemma

$$\left| \frac{e^{2t}}{2\pi} \int_0^{2\pi} f(a_t r_\theta v) d\theta - \overline{J_f}(\|v\| e^{-t}) \right| < \varepsilon$$

$$\overline{J_f}(y) = \frac{1}{2\pi y} \int_{-\infty}^{\infty} f(x, y) dx dy$$

for large enough  $\|v\|$  &  $t$

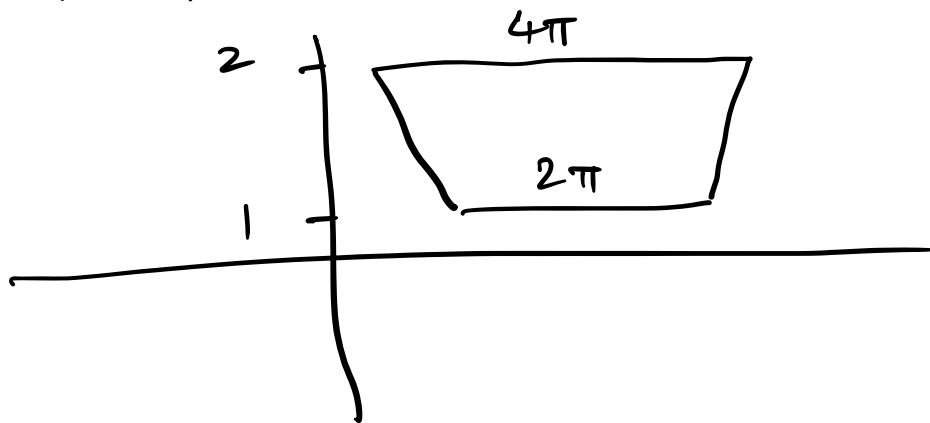


# Proof in special case

Let  $\chi$  be the indicator of  $[1, 2]$ .

Then  $\chi(\|v\| e^{-t})$  is 1 for  $v \in \text{Annulus}(e^t, 2e^t)$

Let  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the indicator of



$$J_f = \chi$$

For large enough  $\|v\|$  &  $t$ , we get

$$\frac{e^{2t}}{2\pi} \int_0^{2\pi} f(a_t r_\theta v) d\theta - \varepsilon$$

$$\wedge$$

$$\chi(\|v\| e^{-t})$$

$$\frac{e^{2t}}{2\pi} \int_0^{2\pi} f(a_t r_\theta v) d\theta + \varepsilon$$

Sum both sides  
over all  $v \in V(S)$

$$\sum_{v \in V(s)} \int_0^{2\pi} f(a_t r_\theta v) d\theta \pm \varepsilon$$

$$= \frac{e^{2t}}{2\pi} \int_0^{2\pi} \hat{f}(a_t r_\theta s) d\theta \pm c e^{2t} \cdot \varepsilon$$

$$\sum_{v \in V(s)} \chi(\|v\| e^{-t}) = \# \left( v(s) \cap \text{Annulus}(e^t, 2e^t) \right)$$

Divide by  $e^{2t}$

$$\begin{aligned}
& \frac{1}{2\pi} \int_0^{2\pi} \hat{f}(a_t r_\theta S) d\theta - C\varepsilon \\
& \leq \frac{\# \left( v(S) \cap \text{Ann}(e^+, 2e^+) \right)}{e^{2t}} \\
& \leq \frac{1}{2\pi} \int_0^{2\pi} \hat{f}(a_t r_\theta S) d\theta + C\varepsilon
\end{aligned}$$

As  $t$  gets larger, we can make  $\varepsilon$  go to 0 & the wavefront average becomes  $\mathcal{M}$  average

$$\lim_{t \rightarrow \infty} \frac{\#(v(s) \cap \text{Ann}(e^t, 2e^t))}{e^{2t}}$$

$$= \int_M \hat{f}(s) d\mu$$

|| Siegel-Veech  
formula

$$C_{v,\mu} \int_{\mathbb{R}^2} f = (3\pi) C_{v,\mu}$$

□

## Reducing to the special case

We've shown the counting result for translation surfaces  $S$  from which wavefronts equidistribute.

A theorem of Nevo says that a.e. point  $S$  does the job.

Thm) (Nevo) For a.e.  $S \in (M, \mu)$  &  $f \in L^{1+\varepsilon}$

$$\lim_{t \rightarrow \infty} \frac{1}{2\pi} \int_0^{2\pi} f(q_t r_0 S) d\theta = \int_M f(R) d\mu(R)$$

This proves the main theorem

Things that were swept  
under the rug.

---

- 1) Wavefront averages are  
convolved with a probability  
density  $\varphi$  centered at 0.

$$\lim_{t \rightarrow \infty} \int_{-\infty}^{\infty} \varphi(z-t) \frac{1}{2\pi} \int_0^{2\pi} f(a_t r_\theta S) d\theta$$

- 2) Same for SV transforms  
of indicator functions.

$SL(2, \mathbb{R})$  ergodic measures on  
translation surfaces are  
classified.